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PAPER

High-precision atomic force microscopy with atomically-characterized tips

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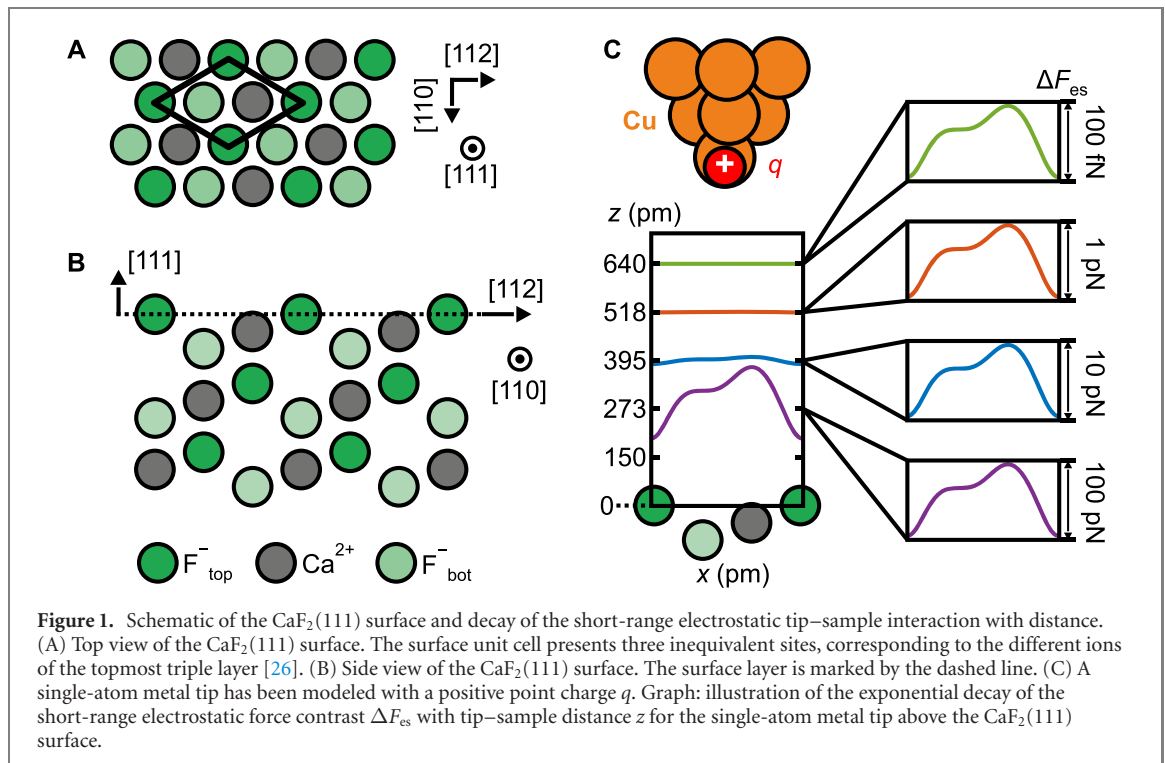
Abstract

Traditionally, atomic force microscopy (AFM) experiments are conducted at tip–sample distances where the tip strongly interacts with the surface. This increases the signal-to-noise ratio, but poses the problem of relaxations in both tip and sample that hamper the theoretical description of experimental data. Here, we employ AFM at relatively large tip–sample distances where forces are only on the piconewton and subpiconewton scale to prevent tip and sample distortions. Acquiring data relatively far from the surface requires low noise measurements. We probed the $\text{CaF}_2(111)$ surface with an atomically-characterized metal tip and show that the experimental data can be reproduced with an electrostatic model. By experimentally characterizing the second layer of tip atoms, we were able to reproduce the data with 99.5% accuracy. Our work links the capabilities of non-invasive imaging at large tip–sample distances and controlling the tip apex at the atomic scale.

1. Introduction

When frequency-modulation atomic force microscopy (FM-AFM) first achieved atomic resolution, the attractive forces were on the order of 1 nN [1]. Atomic bonds in solids have typical spring constants on the order of 100 N m^{-1} , therefore, forces of a nanonewton stretch the bonds of surface atoms by distances on the order of 10 pm. In traditional FM-AFM, measurements are usually conducted at close tip–sample distances where the tip strongly interacts with the surface to maximize the signal-to-noise ratio. These strong tip–sample interactions can lead to lateral and vertical relaxations of the tip apex [2–7] and induce relaxations in the sample [8, 9], as had been previously investigated regarding STM experiments [10]. In particular, tip relaxation and sample perturbation have been major problems in FM-AFM studies of bulk ionic crystals, where sample relaxations greater than 100 pm were observed [11, 12]. In general, the idea of greater tip–sample distances to simplify observations and avoid perturbing the sample has far-ranging applications, from a magnetic tip changing the local spin state [13, 14] to measuring a sensitive electronic ground state [15]. These greater distances pose the challenge of acquiring data with piconewton and sub-piconewton force contrast.

Above ionic surfaces, when the tip is close to the sample, short-range chemical and van der Waals interactions can play a dominant role in AFM images [16, 17]. At larger tip–sample separations, electrostatic interactions have been shown to dominate the AFM contrast [16, 18] down to piconewtons [17]. AFM experiments on bulk ionic crystals generally involve poking the tip into the sample to generate a sharp apex, covering the AFM tip apex with a cluster of sample atoms, and therefore leaving the tip termination and polarity unknown. Even with the assumption that the tip ends in one of the ionic species of the surface, atomic identification requires indirect theoretical characterization [19, 20] or adsorbed marker molecules [21, 22]. The $\text{CaF}_2(111)$ surface (figures 1(A) and (B)) is an ionic surface that lacks charge inversion symmetry and has been used for this reason to identify the charge at the tip apex [11, 12, 23–25]. The surface layer of $\text{CaF}_2(111)$ consists solely of negatively charged F^- ions (as otherwise, the surface would possess an infinitely high surface energy [26]).



Due to the Smoluchowski effect [27], single-atom metal tips expose a dipole with its positive pole pointing toward the surface [16, 17, 28, 29], and are therefore suitable to probe ionic crystals. The apex of a metal tip can be characterized at the atomic scale by imaging a known feature to determine the chemical and structural composition of the tip apex. We use a single CO molecule on a Cu(111) surface, a technique known as the CO front atom identification method (COFI) [30–32]. In this way, a suitable metal tip ending in a single front atom can be prepared by a sequence of poking and COFI analysis until a single atom tip is obtained. Poking and COFI requires a metal surface (typically Cu(111)) covered with a few percent of CO.

In this work we report on AFM experiments on the $\text{CaF}_2(111)$ surface with a single atom metal tip which is thoroughly characterized by COFI to assess any influence of tip atoms in the second atomic layer behind the front atom. The preparation and COFI analysis of a single-atom metal tip was conducted on a Cu(111) sample, before the Cu(111) sample was replaced by the $\text{CaF}_2(111)$ sample. Afterwards the tip's state was again checked with COFI on Cu(111) (see supplementary figure S6) (<https://stacks.iop.org/NJP/22/063040/mmedia>). We probed the $\text{CaF}_2(111)$ surface over a tip-sample distance range of 300 pm, starting with force contrasts of only 40 pN at closest approach down to femto-newtons. In this regime, the atomic AFM contrast is primarily formed due to short-range electrostatic tip-sample interactions [16]. An electrostatic calculation, where the sample atoms are represented by static point charges and the tip is modeled by a single positive point charge (figure 1(C)), reproduces the measured AFM contrast for all tip-sample distances. Figure 1(C) illustrates the electrostatic force contrast as a function of tip-sample distance: probing the surface at tip-sample distances where we measure force contrasts of only a few tens of pico-newtons down to the femto-newton regime allows us to neglect tip-induced relaxations of sample atoms in our calculation, that were previously reported for force contrasts on the order of nano-newtons [11, 12]. Based on the experimental characterization of the second layer of tip atoms, we improved the accuracy of the calculation and reproduced the small but measurable asymmetry in the images of $\text{CaF}_2(111)$.

2. Experimental methods

The experiment was performed with a commercial low-temperature combined scanning tunneling/atomic force microscope at a temperature of 4.4 K (LT STM/AFM, Scienta Omicron GmbH, Taunusstein), equipped with a qPlus sensor [33]. We used a sensor with an Ir tip, sharpened with a focused-ion-beam (FIB), showing a resonance frequency of $f_0 = 55\,051\text{ Hz}$, a stiffness of $k = 1800\text{ Nm}^{-1}$, and a quality factor of $Q = 811\,485$, that was operated at a constant amplitude of $A = 50\text{ pm}$ in the frequency modulation mode (FM-AFM) [34], with a phase-locked-loop bandwidth set to $B = 50\text{ Hz}$. In FM-AFM, the frequency shift Δf of the sensor from its unperturbed resonance frequency f_0 is related to the gradient of the vertical

force between tip and sample k_{ts} as $\Delta f = f_0/(2k)\langle k_{ts} \rangle$, where the brackets indicate a weighted average over the oscillation amplitude of the tip [35]. To increase the sensitivity to short-range tip–sample interactions, the oscillation amplitude should be set to a similar magnitude as the decay length of the tip–sample interaction [33]. As derived later in the text, the short-range electrostatic interaction on $\text{CaF}_2(111)$ decays exponentially with a decay length of $\lambda_{\text{theo}} = 53.2$ pm. Hence, we chose an amplitude of $A = 50$ pm. Processing of experimental data has been performed using the Gwyddion software [36] and is explicitly stated when applied.

A $\text{Cu}(111)$ sample for tip preparation and characterization was prepared using standard sputter-anneal cycles and then CO was leaked into the chamber to cover the sample with 0.01 ML CO. The $\text{CaF}_2(111)$ sample was cleaved in ambient conditions. It was then transferred to ultra-high vacuum and annealed several hours at about 550°C in order to remove contaminants and in contrast to previous findings [37] we did not observe atomic defects. Tips were kept cold while the samples were being exchanged to prevent thermally induced tip changes.

Single-atom metal tips were characterized and prepared on the $\text{Cu}(111)$ sample. The tips were prepared by light pokes between 300 pm and 1 nm into a $\text{Cu}(111)$ surface. After poking, the tip was characterized with the COFI method [30–32] and sequences of poking and COFI analysis were repeated until the tip showed a satisfactory COFI portrait. In COFI, the tip is scanned in constant height above a CO molecule adsorbed to a $\text{Cu}(111)$ surface. The CO acts as a probe and the resulting Δf images show the atomic configuration of the tip apex. Changes in the atomic structure of the tip apex during the measurement were excluded by investigating the tips again on $\text{Cu}(111)$ after data was acquired of the $\text{CaF}_2(111)$ surface similar to [31] (see supplementary figure S6). For direct comparison, we recorded the two corresponding COFI images at the same tip–sample distance, i.e. at the same relative distance to a given STM setpoint above the bare Cu surface. In this way we verified that the tip had not changed during the measurement on $\text{CaF}_2(111)$. We note that this procedure is experimentally challenging: first, a $\text{Cu}(111)$ sample is inserted into the microscope, covered by CO molecules and then used to poke and characterize the tip. Second, the Cu sample is replaced by the $\text{CaF}_2(111)$ sample and the measurements are conducted. As a last step, the samples are again exchanged and the tip apex is characterized by COFI on the $\text{Cu}(111)$ sample again. If the tip suffered changes during the data acquisition, the data had to be discarded.

The sample bias V_b was set to minimize the long-range electrostatic contribution to the total AFM contrast. We therefore measured a Kelvin parabola and used the apex voltage as the imaging voltage V_b . However, the position of the apex depends on the tip–sample electrostatics, so it is slightly different for measurements performed on the three high-symmetry sites. We obtained the actual imaging voltage V_b by averaging the apex positions measured on the three prominent sites of the topmost $\text{CaF}_2(111)$ triple layer. For the single-atom metal tip we obtained $V_b = +5.8$ V (see supplementary figure S7). The measured value of V_{CPD} is comparable to previously reported values on $\text{CaF}_2(111)$ in [23].

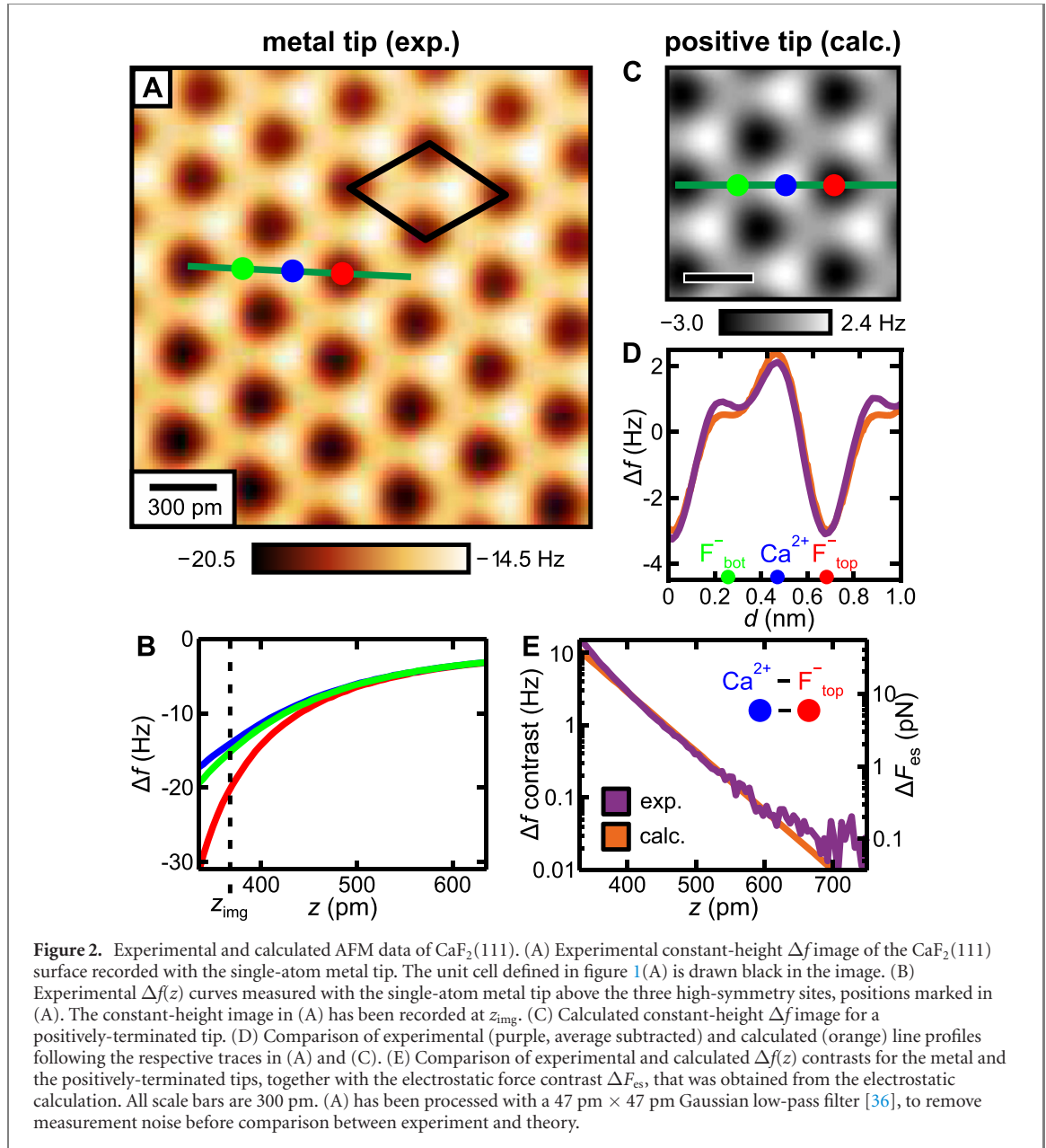
3. Results

Figure 2(A) shows an experimental constant-height frequency shift Δf image of the $\text{CaF}_2(111)$ surface recorded with a single-atom metal tip. The image presents three prominent sites: a local minimum (dark), a local maximum (bright) and a saddle point (intermediate). Figure 2(B) shows experimental frequency shift versus distance $\Delta f(z)$ spectra recorded on the three sites with the same tip. As Δf is entirely negative, the spectra show that the tip–sample interaction is attractive over the accessible z range. At closer distances, reversible tip relaxations occurred, indicated by an increased AFM dissipation signal. The constant-height image is recorded at the height z_{img} .

To address the contrast mechanism in figure 2(A), we compare our data to simulated data including only electrostatic interactions. We model the atoms of the sample as point charges with $q_{\text{Ca}} = +1.730 e$ and $q_{\text{F}} = -0.865 e$ (with e the elementary charge) as calculated with density functional theory [38]. Note that changing the magnitude of the ionic charges (at fixed ratio 2:1 to keep charge neutrality) does not affect the interpretation of the experimental data. It can be shown that the z -component of the electric field decays exponentially outside an ionic crystal with a decay length $\lambda_{\text{theo}} = 1/a^*$, where a^* is the length of the surface reciprocal primitive vector [39]. The potential $V(\mathbf{r})$ at position \mathbf{r} above a crystal surface has the periodicity of the surface itself in the x and y directions. When divided in components, it can be expanded in a Fourier series as [40, 41]

$$V(\mathbf{r}) = \sum_{\mathbf{K} \neq 0} V_{\mathbf{K}}(z) e^{i\mathbf{K} \cdot \mathbf{r}} = \sum_{\mathbf{K} \neq 0} V_{\mathbf{K}}(z) e^{iK_x x} e^{iK_y y}, \quad (1)$$

where $\mathbf{K} = m_1 \mathbf{a}_1^* + m_2 \mathbf{a}_2^*$ is a reciprocal surface lattice vector, m_1 and m_2 are integers, and \mathbf{a}_1^* and \mathbf{a}_2^* are the surface reciprocal primitive vectors. Outside the crystal (where no charges are present) the potential must



fulfill the Laplace equation $\nabla^2 V(r) = 0$ [40]. For each $\mathbf{K} \neq 0$ this leads to

$$V_{\mathbf{K}}(z)(-K_x^2) + V_{\mathbf{K}}(z)(-K_y^2) + \partial_z^2 V_{\mathbf{K}}(z) = 0. \quad (2)$$

This differential equation can be solved by

$$V_{\mathbf{K}}(z) = V_{\mathbf{K},0} e^{-Kz} = V_{\mathbf{K},0} e^{-z/\lambda}, \quad (3)$$

where $K = \sqrt{K_x^2 + K_y^2}$, and $\lambda = 1/K = 1/a^*$. For $\text{CaF}_2(111)$, $a^* = (4\pi)/(\sqrt{3}d)$, where $d = 386 \text{ pm}$ is the length of the surface primitive vector, and thus $\lambda_{\text{theo}} = 53.2 \text{ pm}$. The exponential dependence of the z -component of the electric field is also reflected in the tip-sample force and the frequency shift as the latter two quantities are linear dependent on the effective charge at the tip apex q and the electric field (see supplementary materials)

$$\Delta f(z) \propto q e^{-z/\lambda}. \quad (4)$$

Hence, multiplying the ionic charges with the same factor c would just change the measured contrast by this factor c . This is equivalent to a change in tip-sample distance and will not alter the relative atomic contrast. We first calculated the electric field above the surface, then the interaction force with a point charge q modeling the tip apex, and finally the frequency shift signal (described in more detail in the supplementary material). We assumed that $q = +0.13 e$ for a single-atom metal tip as reported in [28].

Figure 2(C) shows a calculated constant-height Δf image for the positively-terminated metal tip. Analogous to the experimental image in figure 2(A), the calculated image shows three prominent sites that correspond to the atoms in the top triple layer of $\text{CaF}_2(111)$, which allows us to assign atomic species to the experimental data. The atoms of the surface F^- layer are imaged dark with the metal tip and the Ca^{2+} atoms are imaged bright in the constant-height image. The sites of intermediate contrast correspond to the atoms of the lower F^- layer. Figure 2(D) shows experimental and calculated line profiles extracted along the green lines in figures 2(A) and (C), respectively. To quantify the agreement between experiment and calculation, we calculated the relative quadratic deviation (RQD) over one surface period between the measured and calculated line profiles, and define the accuracy of our calculation as $1 - \text{RQD}$ (see supplementary material). In order to compare the atomic contrast and not the long-range background forces, the average Δf over one period is subtracted from both the experimental and calculated line profiles. For the image in figure 1(A), we obtain an accuracy of 98.1% between experiment and theory, which shows that our calculation captures almost all the contrast measured in the electrostatic regime. The accuracy is increased to 99.5 % by taking the second tip layer into account (see section 3.2).

The experimental $\Delta f(z)$ curves in figure 2(B) reflect the total tip-sample interaction, whereas in the calculation only short-range electrostatics are taken into account. To eliminate the long-range contribution in the data we consider the difference of $\Delta f(z)$ spectra above two atomic sites, analogously to [42]. Calculated and experimental $\Delta f(z)$ contrasts are shown on a logarithmic plot in figure 2(E). For z values between 350 pm and 700 pm, the measured Δf spectra show an exponential decay with a decay constant of $\lambda_{\text{exp}} = (53 \pm 3)$ pm, in agreement to the predicted electrostatic decay constant $\lambda_{\text{theo}} = 53.2$ pm. The $\Delta f(z)$ contrasts shown in figure 2(E) demonstrate that the electrostatic model is sufficient to explain the contrast measured with the metal tip for all accessible tip-sample distances, i.e. the short-range electrostatic interaction dominates the AFM contrast for all accessible tip-sample distances for the single-atom metal tip [16].

We used this relationship to determine the tip height z in the experimental data by fitting the experimental to the calculated $\Delta f(z)$ contrast, using the tip-sample distance as a fit parameter. We define z as the vertical distance between the point charge modeling our tip and the F_{top}^- nucleus. In this estimate, a factor of 2 uncertainty in the charge at the tip apex, q , translates in an uncertainty in z of only ± 37 pm (see supplementary materials). Analogous, if we change the ionic charges of the sample atoms from $q_{\text{Ca}} = +1.730 e$ and $q_{\text{F}} = -0.865 e$ to $q_{\text{Ca}} = +2 e$ and $q_{\text{F}} = -1 e$, this is equivalent to a change in tip-sample distance of only 8 pm, and will therefore not affect our interpretation.

3.1. Detecting femtonewton forces

For tip-sample distances larger than 500 pm we measure Δf contrasts down to the mHz range, which corresponds to femtonewton electrostatic force contrasts (figure 2(E)). As illustrated in figure 3, constant-height images recorded at these distances are primarily governed by measurement noise that exceeds the expected atomic corrugation: figure 3(A) shows an unprocessed constant-height image recorded at $z = 380$ pm, clearly resolving the three atomic sites of the $\text{CaF}_2(111)$ surface triple layer. The inset shows a part of the image, processed with a $47 \text{ pm} \times 47 \text{ pm}$ Gaussian filter, revealing the expected atomic Δf contrast of 4.2 Hz. The fast Fourier transformed (FFT) image (figure 3(B)) created from figure 3(A) shows six peaks that correspond to the surface reciprocal primitive vectors.

Figure 3(C) shows an unprocessed constant-height image recorded of the same spot on the surface as figure 3(A), but at a tip-sample distance of $z = 570$ pm. As in this image the tip was 190 pm further away from the surface than in figure 3(A), the atomic contrast should be only 2.8% of the initial value. This corresponds to a Δf contrast of 118 mHz (corresponding to an electrostatic force contrast of 350 fN, as illustrated in figure 2(E)), which is masked by the measurement noise. The corresponding FFT image (figure 3(D)) still shows the six peaks that correspond to the surface reciprocal primitive vectors in the center, but with much lower intensity than figure 3(B). A detailed description of the noise sources in AFM experiments with qPlus sensors is given in [33], showing that the linear dependence of the noise on frequency (as it is the case for the FFT image in figure 3(D)) is due to detector noise. Note that the linear increase of noise with spatial frequency is only seen in the x -direction, which is the fast scan direction, since in the x -direction the number of pixels scanned per second (spatial frequency) is much higher than in the y -direction. The experimental noise in AFM experiments is complex (see [33]), it has components that are inverse with the spatial frequency (frequency drift noise), constant ('white noise': thermal and oscillator noise) and linear with the spatial frequency (detector noise). In our experiment, detector noise is dominant, and as a consequence, it shows up mainly in the fast scan direction (x) where it dominates the FFT image.

The influence of the detector noise contribution can be diminished by reducing the bandwidth during measurement. After data acquisition, low-pass filtering in the time domain (i.e. bandwidth reduction) can be performed by filtering the data with a Gaussian low-pass filter. Indeed, processing the constant-height

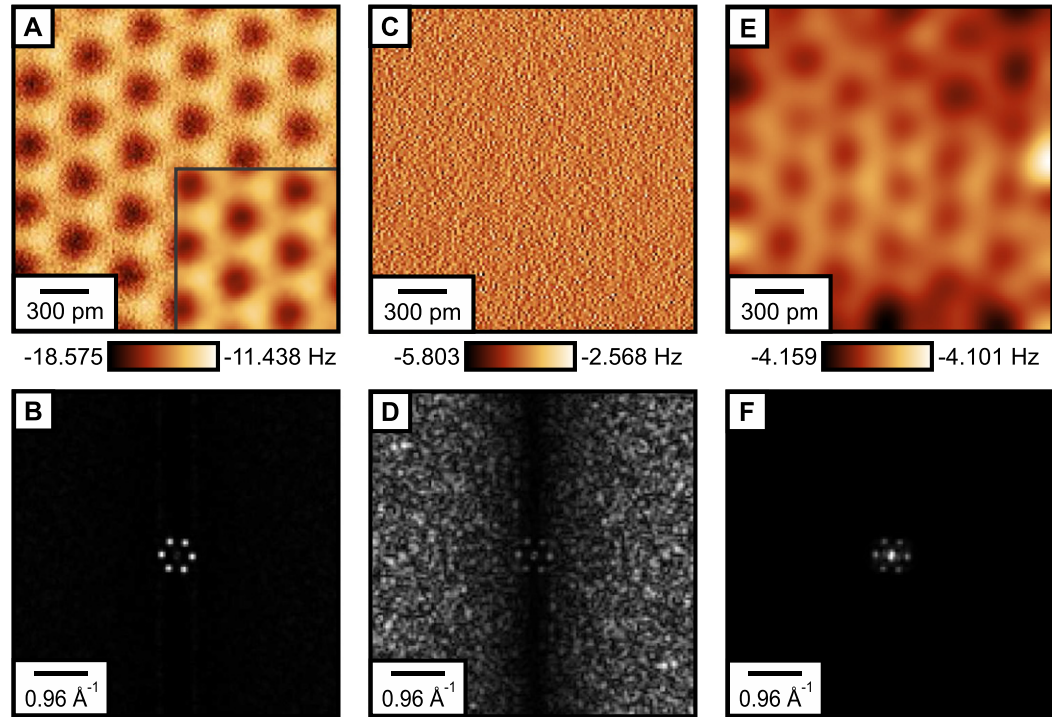


Figure 3. Experimental images with mHz contrast. (A) Experimental constant-height Δf image recorded at a tip-sample distance of $z = 380$ pm. Inset: part of the image, processed with a $47 \text{ pm} \times 47 \text{ pm}$ Gaussian low pass filter [36], showing a Δf contrast of 4.2 Hz. (B) Fast Fourier transformed (FFT) image created from (A) resolving six peaks that correspond to the surface reciprocal primitive vectors. (C) Experimental constant-height Δf image recorded at a tip-sample distance of $z = 570$ pm. The expected atomic contrast of only 118 mHz is masked by measurement noise. (D) Corresponding FFT image created from (C), still showing the six peaks corresponding to the reciprocal lattice, but masked by measurement noise. (E) Same image as in (C), processed with a $234 \text{ pm} \times 234 \text{ pm}$ Gaussian low-pass filter [36], again resolving the atomic lattice of $\text{CaF}_2(111)$. The strong features at the corners are an effect of the low-pass filtering. (F) FFT image created from (E), showing the increased signal-to-noise ratio after image processing.

image in figure 3(C) with a $234 \text{ pm} \times 234 \text{ pm}$ Gaussian filter reveals the atomically resolved $\text{CaF}_2(111)$ lattice (figure 3(E)). The corresponding FFT image (figure 3(F)) shows the increased signal-to-noise ratio in the processed image with a strongly decreased contribution of detector noise and unchanged intensity of the six data peaks that correspond to the surface reciprocal primitive vectors. Note that the atomic contrast in the filtered image of 58 mHz is lower than the theoretically expected contrast of 118 mHz, which can be attributed to the strong Gaussian low-pass filtering that leads to an averaging effect, but is needed to resolve the atomic contrast in the constant-height image [33].

3.2. Improving the tip model from a single point charge to a model that takes the second tip layer into account by utilizing the COFI data

The $\text{CaF}_2(111)$ surface has a three-fold rotational symmetry with respect to an axis perpendicular to the surface. Experimental constant-height line profiles extracted along the three high-symmetry directions of the surface are shown as dotted lines (green, red and blue) in figure 4(C). The slight difference between them (e.g. the green line decreases between $d = 0.2$ and 0.3 nm , whereas the blue line remains constant in this range) is a result of the fact that the actual metal tip does not perfectly share this threefold symmetry, unlike a tip comprised by a single point charge in our model. The COFI image of this tip (figure 4(A)) shows signatures of atoms in the second tip layer, as indicated by the crosses. We expanded the electrostatic model to also consider contributions from the relevant second tip layer atoms. The lateral positions (Δx_i , Δy_i) and magnitudes of the additional force contributions of the second layer tip atoms were directly obtained from the COFI portrait of the tip: from the image we obtained the lateral shift (Δx_i , Δy_i) of the 3 second layer atoms (black crosses in figure 4(A)) with respect to the front atom marked by the white cross. Note that the COFI image is a mirror inverted image of the atomic structure of the tip [43]. As an example, if a feature is 200 pm left of the front atom in the COFI image, i.e. in negative x direction, it is 200 pm right of the front atom in real space. To calculate the magnitude of the additional contributions to the Δf contrast, we analyzed the signal strength in the COFI image of each second layer atom with respect to the front atom (see supplementary material for details). In this way, the COFI portrait fixes all relevant tip parameters.

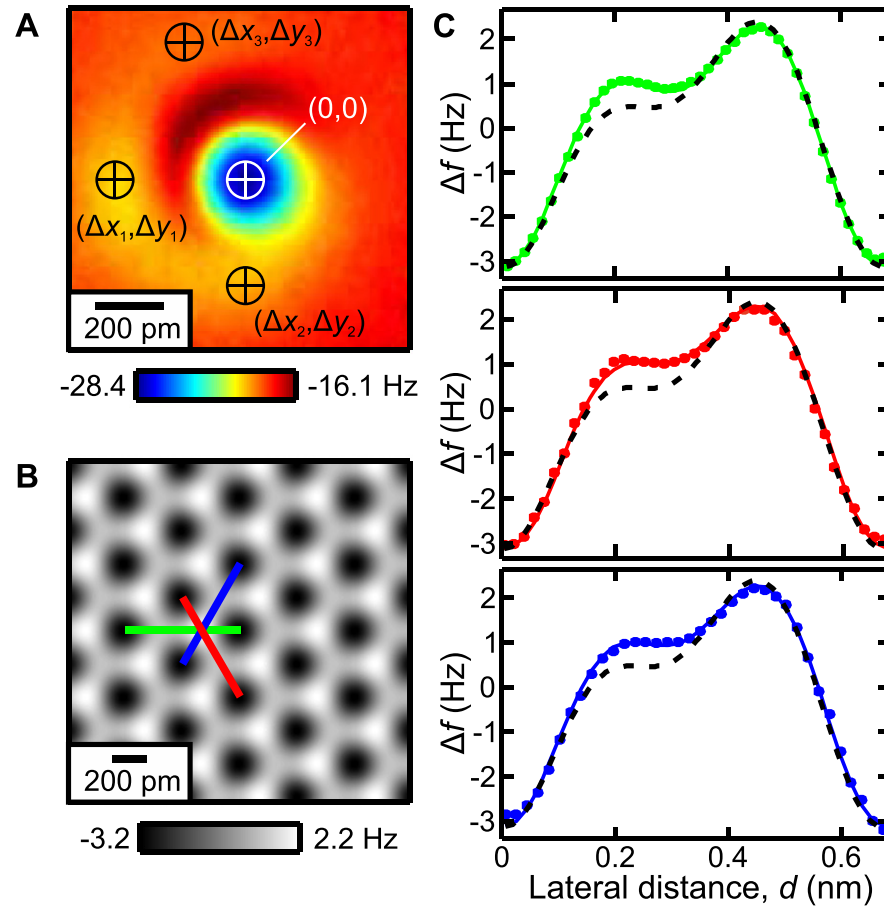


Figure 4. Refined tip apex description based on the COFI characterization. (A) COFI image of the single-atom metal tip. The white cross indicates the front atom at coordinates (0, 0). Black crosses indicate second layer atoms (shifted with respect to the front atom by $(\Delta x_i, \Delta y_i)$) used to refine the electrostatic calculation. Note: yellow color indicates a local minimum. (B) Calculated Δf image of CaF₂(111) for the multi-atom tip model. (C) Line profiles along the three high-symmetry directions marked in (B). The calculation including four point charges (solid) matches the asymmetry of the experiments (dots) and gives a better agreement than the single point charge model (dashed).

With the second layer atoms, we calculated again constant-height images of the CaF₂(111) surface (figure 4(B)). Figure 4(C) shows a comparison between experimental data (dotted), the single point charge model (black, dashed) and the calculation with second tip layer (solid). While the single point charge model cannot reproduce the asymmetry in the line profiles, taking into account the second tip layer drastically increases the agreement. The more refined model reproduces the experimental image at closest approach with an accuracy of 99.8%. Over a distance range of 100 pm, the average accuracy is 99.5% (see supplementary material).

4. Discussion

The agreement of the simulation to the experimental data shows that static electrostatics are the dominant physics between the surface and the tip. Polarization of the tip in the electric field of the sample would lead to a deviation in the shape of the exponential decay of (4) [18]. We calculated the additional force on the metal tip due to a polarized tip apex, but compared to the electrostatic force we found this effect to be negligible (see supplementary material). Similarly, strong tip-sample interactions could induce relaxation of either the surface or the tip, which would result in a deviation from the model. We calculated the relaxation of the surface F⁻ ions in the electric field of our charged tip apex, and found a relaxation of only $\Delta z = 1.8$ pm at the closest tip-sample approach of $z = 335$ pm (see supplementary material). Hence, we can neglect any tip-induced sample relaxation in our data.

In conclusion, we have shown how the interpretation of experimental AFM data benefits from the precise control of the tip apex at the atomic level on the example of the well-studied CaF₂(111) surface. We probed the surface with an atomically-characterized metal tip at tip-sample distances, where the tip interacts only weakly with the sample, and atomically resolved the surface with force contrasts in the femtonewton-regime. Due to the non-invasive imaging of the surface at these tip-sample distances, an

electrostatic calculation completely describes the AFM contrast with the metal tip. Characterizing the tip with the COFI method before and after the measurement on $\text{CaF}_2(111)$ confirmed the stability of the tip apex during the whole experiment, and allowed us to experimentally access the structural and chemical composition of the AFM tip apex. With this information, we could refine the theoretical description of our tip, increase the accuracy of the electrostatic calculation and reproduce experimentally observed asymmetries in the AFM images.

The approach to describe the tip apex based on experimental characterization with COFI could be in principle transferred to more complex sample systems, where more advanced theoretical modeling is required, and furthermore is not limited to the description of metal tip apices [2, 44]. By precisely controlling the AFM tip apex in the experiment, the theoretical description of the system can be confined to only one tip model and thus, calculations can be performed much more effectively.

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